FEDERAL BUREAU OF INVESTIGATION
FOI/PA
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X For this Page X
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FD-340a (Rev. 10-3-77)

(Title) _____

(File No.) 29C-3454-1A

Item	Date Filed	To be returned Yes	To be returned No		Disposition
1A1	8/18/88		✓	Original notes RP [redacted]	
1A2	—		✓	" " [redacted]	
1A3	3/10/90		✓	[redacted] Arrest log, Advice of Rights	
1A4	3/10/90		✓	Newspaper clipping [redacted] named as victim in loansharking	
1A5	4/6/90		✓	Color Arrest shots and mugshots of [redacted]	
				DVD [redacted]	
				[redacted] Photo Taken on 2/26/90 by [redacted]	
1A6	12/10/01		x	FD-192 (1B1)	

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b6
b7C

29C-3454-1A
[redacted]

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[redacted]

FD-340 (Rev. 4-2-85)

Field File No. _____

Serial # of Originating Document _____

OO and File No. AL 29C-3454-1A1

Date Received 8-11-88.

From [redacted] b6 b7C

(Name of Contributor)

1ST AMERICAN BANK

(Address of Contributor)

ALBANY, NEW YORK

(City and State)

By [redacted] b6 b7C

(Recipient)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title:

Reference: _____

(Communication Enclosing Material)

Description: ☒ Original notes re interview of

[redacted] b6 b7C

Thursday 202

FRIDAY
2 weeks

[redacted]

b6
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-/88

(1) 16785.-

Classic Car Leasing, Inc.
Classic Cars
88 05 Atlantic Ave
Woodhaven, NY 11421

(2) 6/31/88
19851.00

Bank of New York
A/C closed

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b7C

[redacted]

See daft
Bank NY CL

[redacted]

Classic Car Leasing Inc

b6
b7C

Possibly
2 or 1 inv

FD-340 (Rev. 4-2-85)

Field File No. _____

Serial # of Originating Document _____

OO and File No. Ai 29C-3454-1A2

Date Received 8-12-88

From [redacted] b6 b7C

(Name of Contributor)

(Address of Contributor)

By [redacted] b6 b7C

(Name of Special Agent)

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☒ No

Title:

Reference: _____

(Communication Enclosing Material)

Description: ☒ Original notes re interview of [redacted] b6 b7C

NAME: [redacted] b6 b7C

ADDRESS:

PHONE:

DOB:

POB:

SSAN:

HT:

WT:

EYES:

Use to be partners in bus. [redacted] b6 b7C
still open. - have not talk to him
in over 8 months (Nov)
Still consider Porter → Small Co. - paid by
Company back in Jan. or Feb.

Checks in possession before left - recent
over 1 yr ago. was authorized.
Did not know acct was closed.

- Did not tell anyone that he wrote
the checks.

normally 10-20,000 earned in acct.

[redacted] owes a lot a money more than the $16,000 - Personal

3 yrs ago - invested money $100,000

Stupidity - began writing checks

a least 7 or 8 checks

haven't picked up mail ~~except~~ a month ago.

would sign signature of his [redacted]

Wrote checks on acct after acct. closed

Yeah right

$80,000 w/ lose $16,000 +

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b6
b7C

FD-340 (Rev. 4-2-85)

Field File No. _____

Serial # of Originating Document _____

OO and File No. 29K-3454-1A3

Date Received 2-26-90

From [redacted]
(Name of Contributor)

(Address of Contributor)

[redacted]
(City and State)

By [redacted]
(Name of Special Agent)

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b7C

To Be Returned ☐ Yes ☑ No Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Arrest LOG
ADVICE OF RIGHTS

ARREST LOG

Person Arrested [redacted]

Agents Making Arrest SA [redacted]
SA [redacted]

b6
b7C

Date 2-26-90 Time 10:08 Place [redacted]

Subject Advised of Rights - Time 10:12 A

Agent SA [redacted]

b6
b7C

Items Seized - Search Incident to Arrest:

N/A

Transported via BUCAR

to FBI OFFICE ALBANY, NY

Time Photographed 10:37 Time Fingerprinted 11:05A

Time Lodged Place Lodged

Requests of Subject

TIME	REQUEST
10:21	SA TO call [redacted] DA office
11:07	Phone call - didn't want to make

b6
b7C

FD-395 (Rev. 6-22-77)

INTERROGATION; ADVICE OF RIGHTS

YOUR RIGHTS

Place ALBANY, NY
Date 2-26-90
Time 10:12A

Before we ask you any questions, you must understand your rights.

You have the right to remain silent.

Anything you say can be used against you in court.

You have the right to talk to a lawyer for advice before we ask you any questions and to have a lawyer with you during questioning.

If you cannot afford a lawyer, one will be appointed for you before any questioning if you wish.

If you decide to answer questions now without a lawyer present, you will still have the right to stop answering at any time. You also have the right to stop answering at any time until you talk to a lawyer.

WAIVER OF RIGHTS

I have read this statement of my rights and I understand what my rights are. I am willing to make a statement and answer questions. I do not want a lawyer at this time. I understand and know what I am doing. No promises or threats have been made to me and no pressure or coercion of any kind has been used against me.

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Signed [redacted]

Witness: S[redacted] FBI, ALBANY, NEW YORK, 2/26/90,

Witness: SA [redacted] FBI, Allany, N.Y. 2/26/90

Time: 10:15A

FBI/DOJ

BACKGROUND INFORMATION

Name:

Alias:

Address:

Telephone:

Date/Place of Birth:

Height:

Weight:

Hair:

Eyes:

Race:

Sex:

Scars/Marks/Tatoos:

Complexion:

SSAN:

Marital Status:

Wife:

Children: NONE age
age
age

Employment: LARAE LINCOLN MORANY

Education: PACE / MANHATTAN

Military: NO

Arrest:

Other: NONE

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INTERVIEW LOG

Person Interviewed: _____

Identity of Agents Conducting Interview: _____

_____

_____

Date _____ Time: _____ (BEG) Place _____

_____ (END)

Waiver of Rights - Time _____

Time Preparation of Statement Commenced _____

Identity of Person Preparing Statement _____

Time Statement Completed _____

Time Statement Reviewed by Interviewee _____

Time Written Statement Signed _____

REQUESTS BY INTERVIEWEE

Time	Request

ARRAIGNMENT LOG

Individual Arraigned _____

Date of Arraignment _____

Place of Arraignment _____

Arraigned Before _____

Counsel _____

Ausa _____

Bail Conditions:

$ _____ (Cash/Surety/PRB/OR)

_____

_____

_____

_____

_____

Processing

Time Presented to USMS _____

Time Completed USMS _____

Time Presented to Pre-Trial Services _____

Time Completed Pre-Trial Services _____

Time Arrived Magistrates Court _____

Time Arraigned _____

Time Arraignment Completed _____

FD-340b (Rev. 4-2-85)

Field File No. _____

Serial # of Originating Document _____

OO and File No. 29K-3454-1A4

Date Received 3-2-90

From [redacted] (CONTRIBUTOR)

(ADDRESS OF CONTRIBUTOR)

Clifton Park, NY
(CITY AND STATE)

By 54 [redacted]

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To Be Returned ☐ Yes ☑ No Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure
☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Newspaper clipping [redacted] Named as victim in Lowshtrking

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Ex-cop tagged with loansharking

Indicted with 2d alleged 'enforcer'

By EDWARD FROST
Daily News Staff Writer

The Queens district attorney's office has indicted two men — one an ex-cop — on loansharking charges.

Roger Horan, 44, of Brian Crescent, Bayside, and Steven Danza, 25, of Eastchester are charged with criminal usury and grand larceny, District Attorney John Santucci said yesterday.

Horan quit the force in 1975, eleven months after he shot and killed the estranged husband of a woman whose apartment he was visiting. A grand jury cleared him in the shooting.

Prosecutors said Horan and Danza were enforcers for Danza's late father, Rudolph Danza, who allegedly forced a used car dealer to repay a $120,000 loan with $300,000 in cash and six used cars worth $100,000, prosecutors said.

But Marvyn Kornberg, the younger Danza's lawyer, argued that his client was not accused of lending money, so should not have been charged with loansharking. Further, he said, there were no assault charges stemming from the accusation that he acted as an "enforcer."

Horan was arrested Jan. 24 and bail was set at $10,000. Danza surrendered on Monday and was released without bail.

According to Santucci's office, the elder Danza was a restaurant owner in Astoria who lent $120,000 to a Woodhaven used car dealer in December 1984 and over the next three years collected more than three times that amount in return.

In August, when the dealer was arrested on a stolen car complaint, he revealed the elder Danza's loansharking operation, the prosecutor said. The dealer, identified in court papers as Peter Guy, told investigators the younger Danza "roughed him up" when he could not meet his payments.

FD-340 (Rev. 4-2-85)

Field File No. AL # 29K-3454-1A5

Serial # of Originating Document _____

OO and File No. AL # 29K-3454-1A

Date Received _____

From FBI - ALBANY
(Name of Contributor)

_____
(Address of Contributor)

_____
(Cit[redacted]

By Photographer
(Name o[redacted]

To Be Returned ☐ Yes ☒ No Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure ☐ Yes ☐ No

Title: [redacted]

1st AMERICAN BANK - VICTIM
ALBANY, N.Y.
BF+E
OO: ALBANY

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

COLOR ARREST photos AND NEGATIVES
OF: [redacted] DOB: [redacted]
[redacted]
photos taken on 2-26-90 by [redacted]

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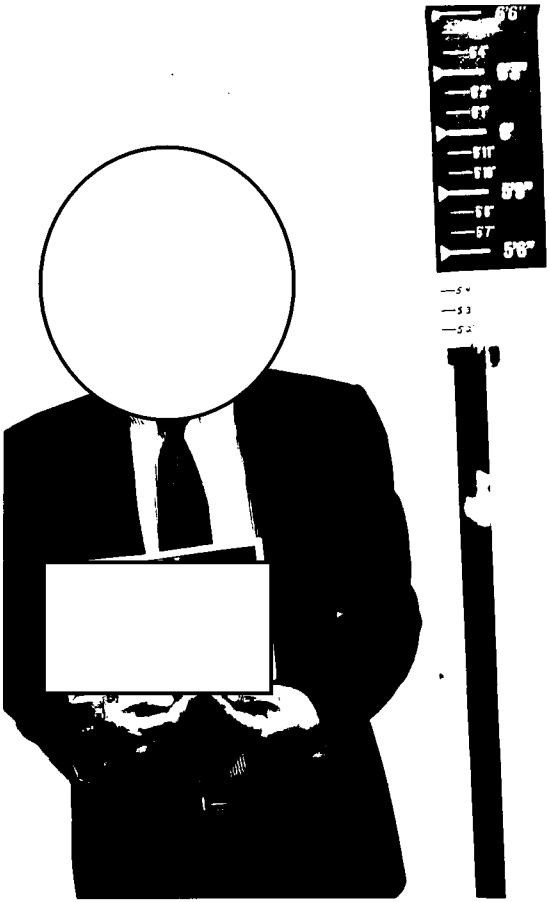

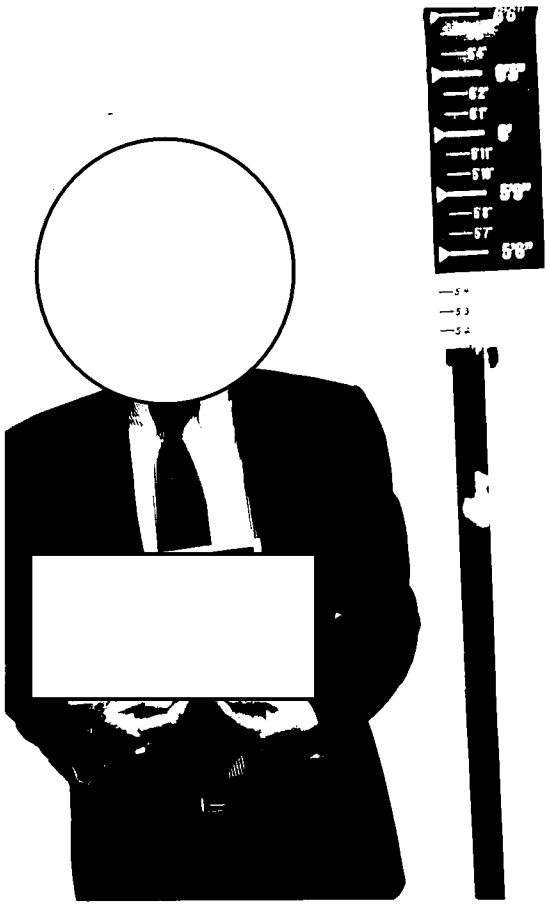

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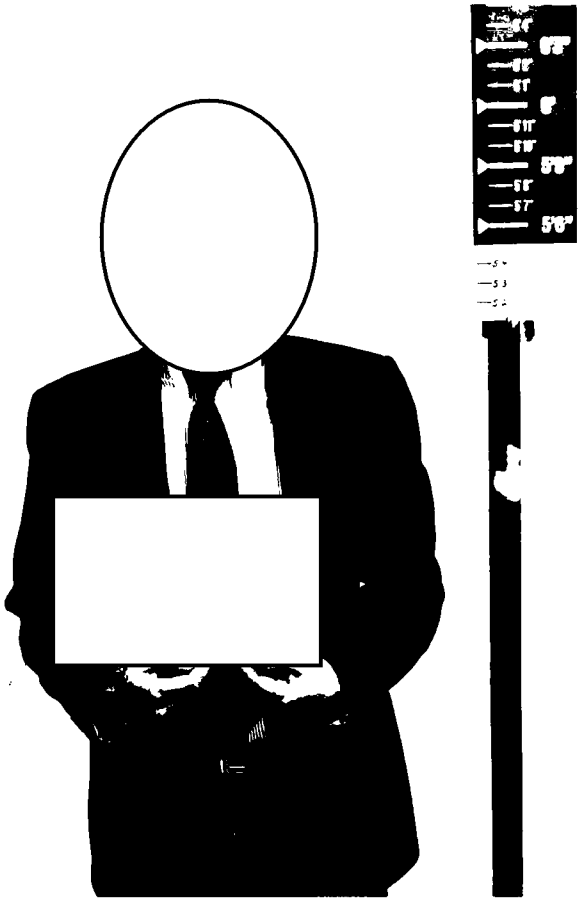

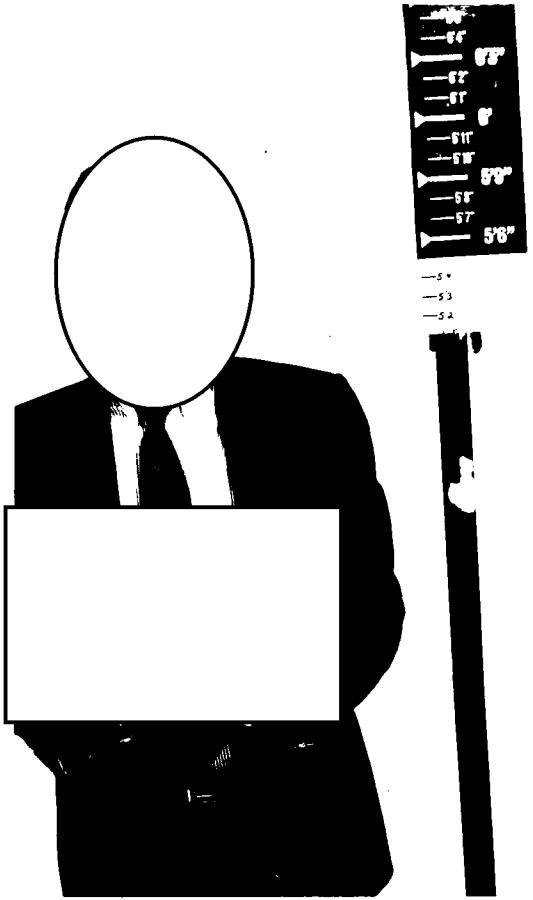

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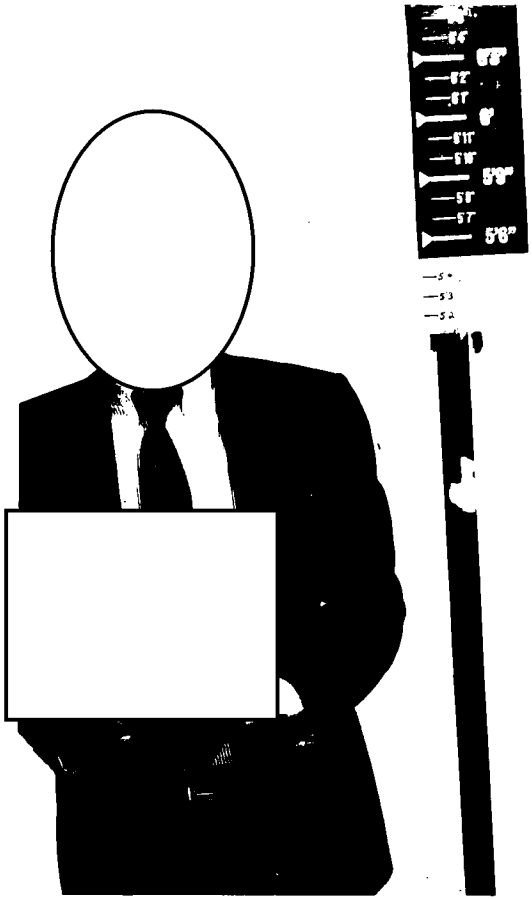

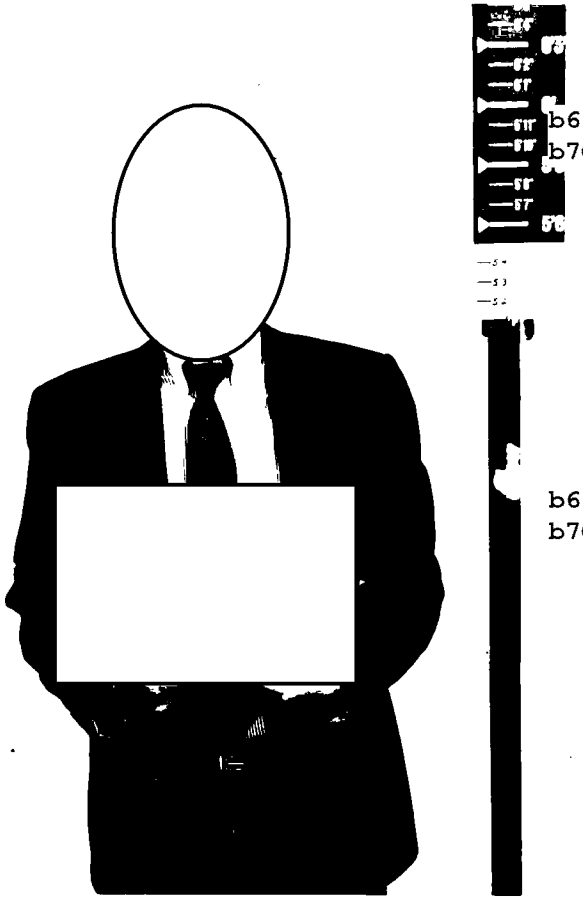

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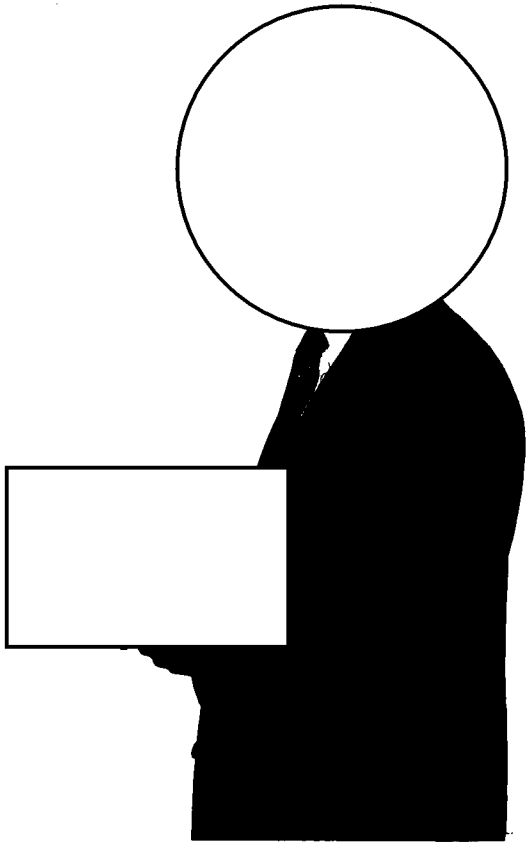

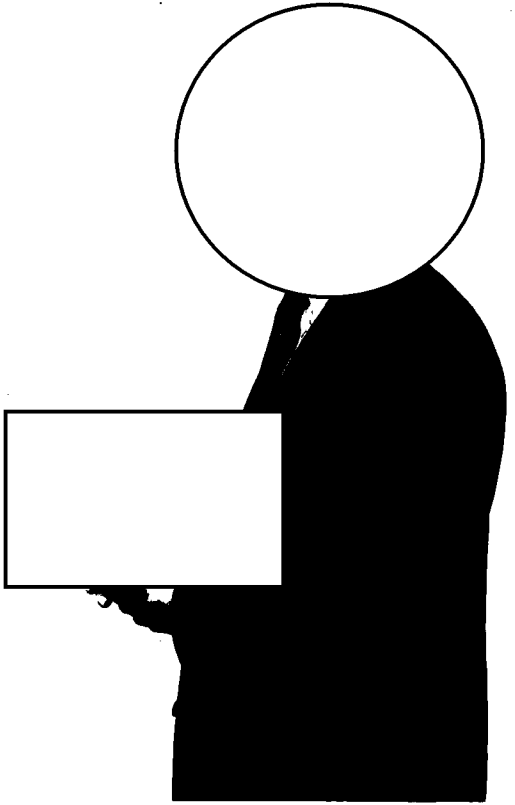

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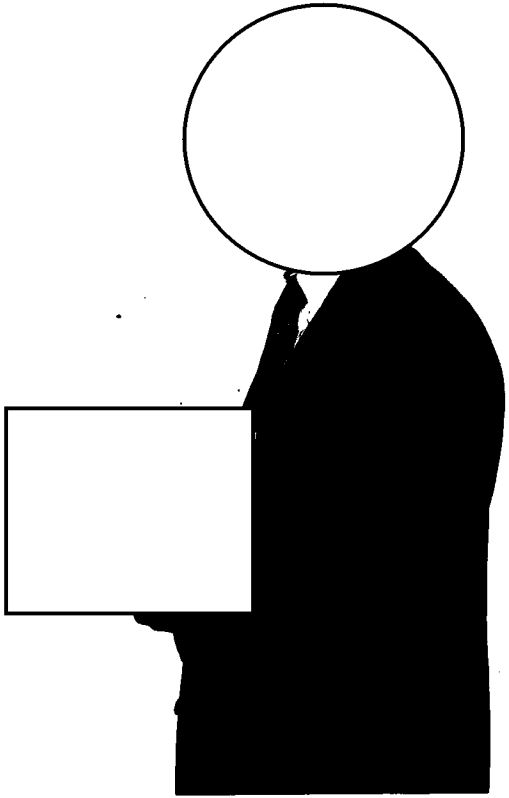

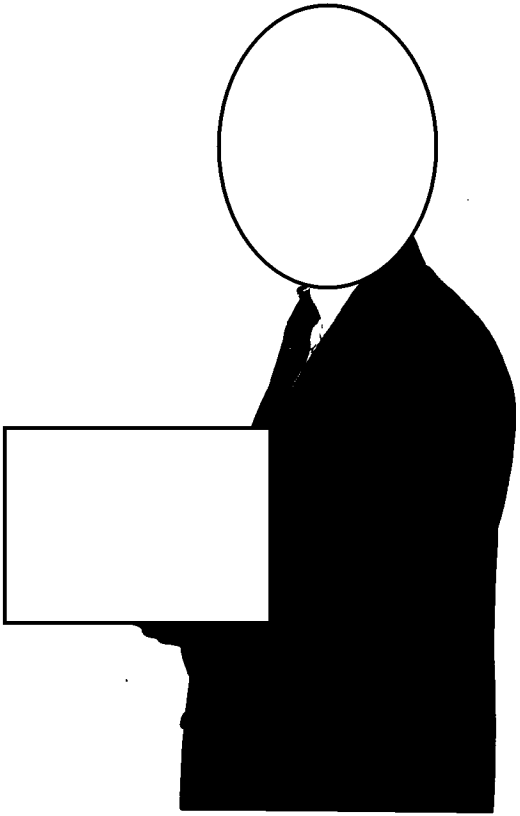

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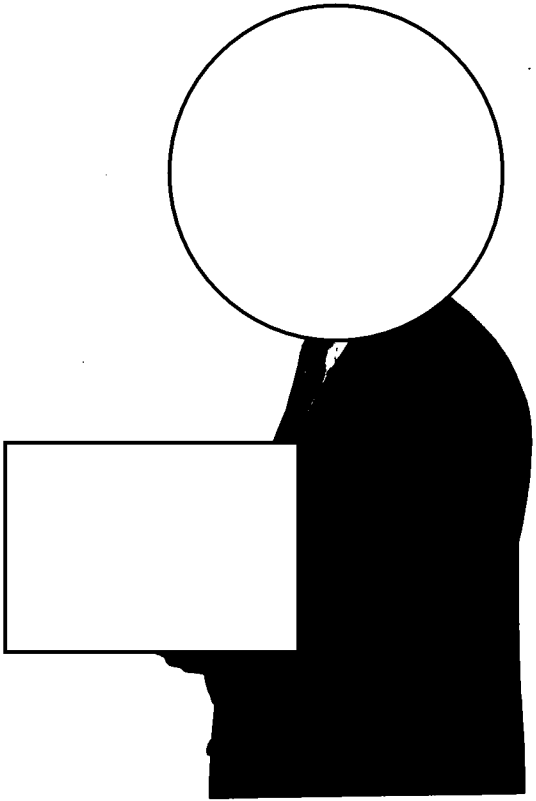

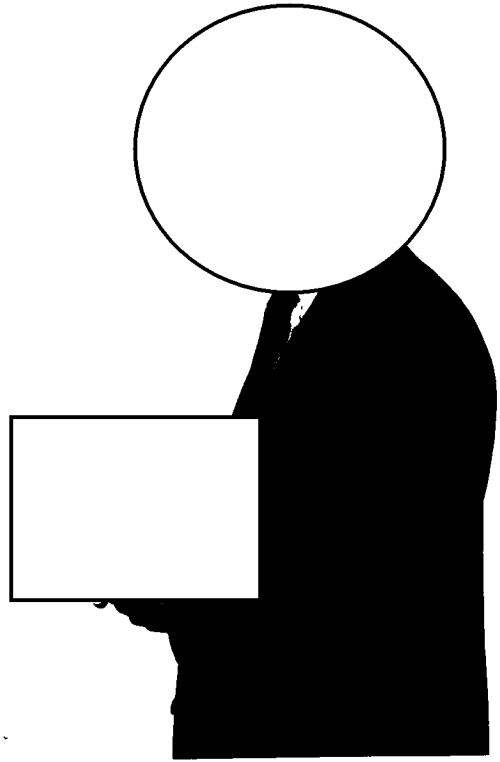

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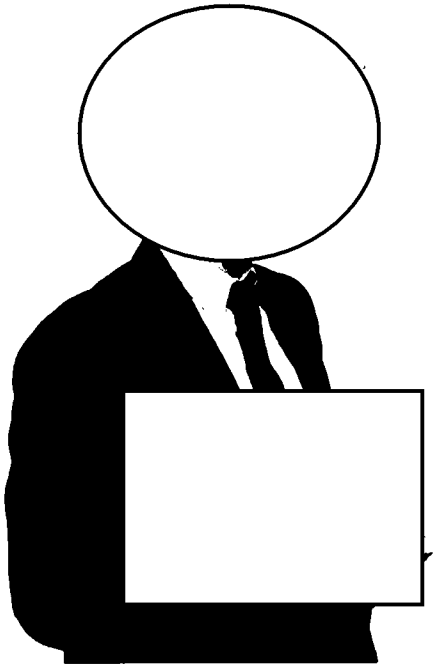

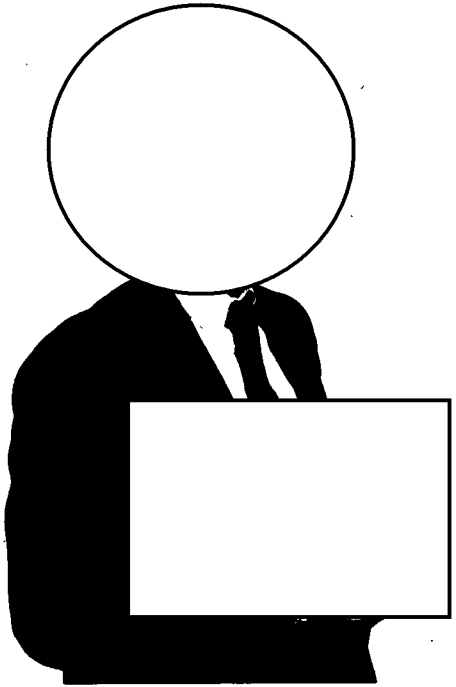

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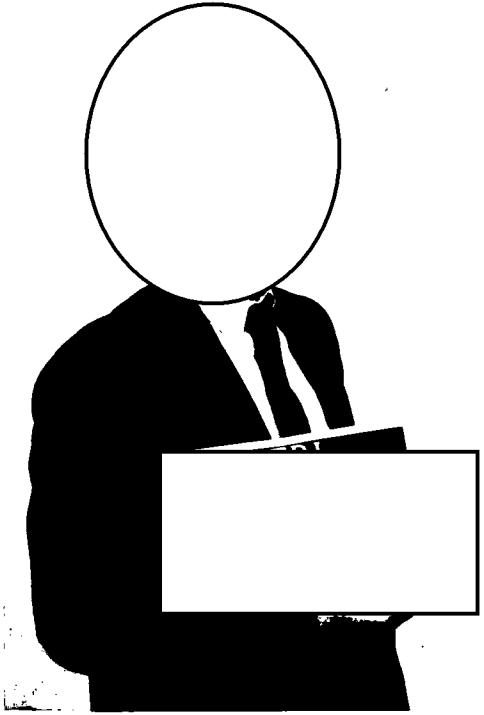

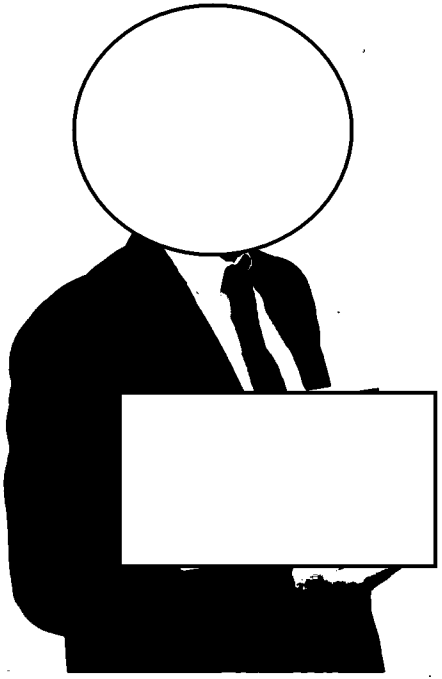

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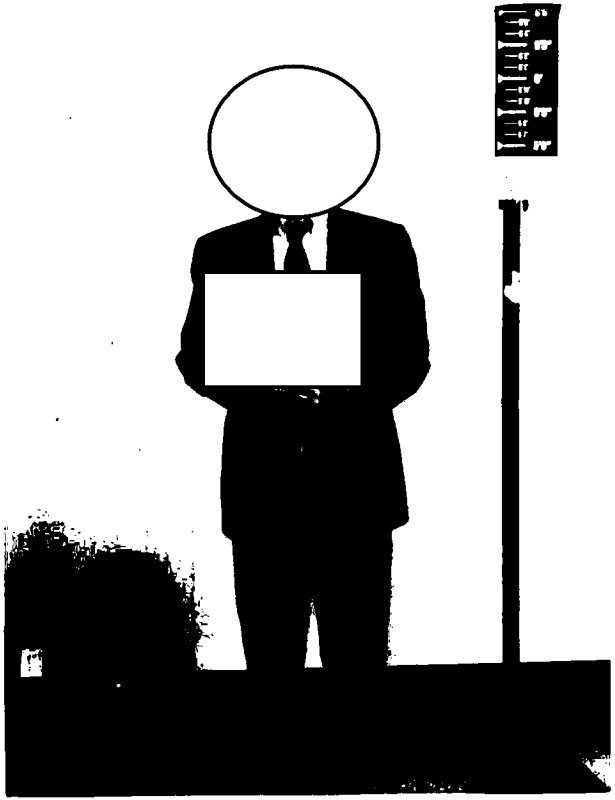

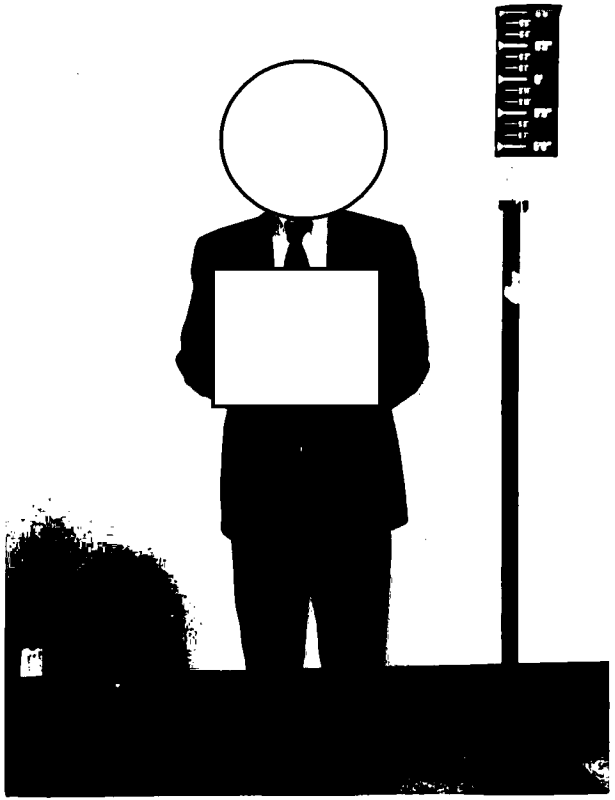

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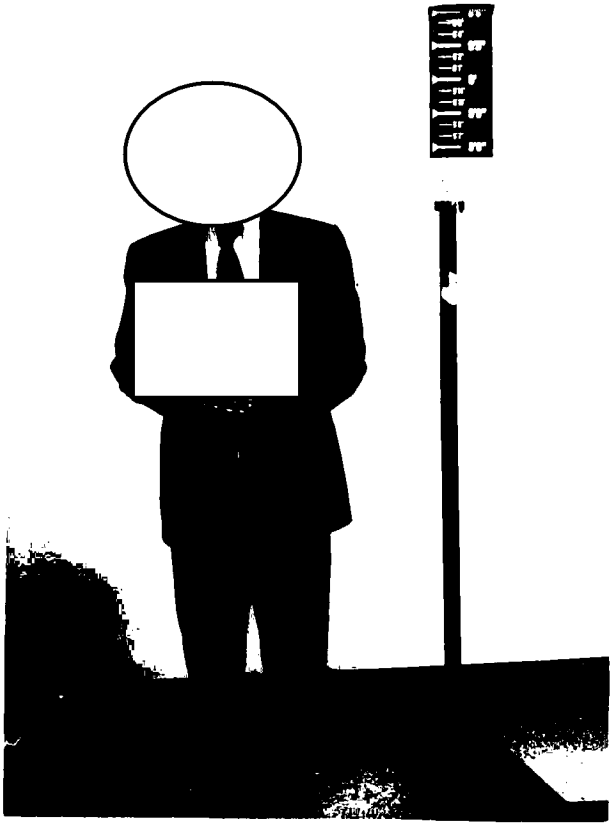

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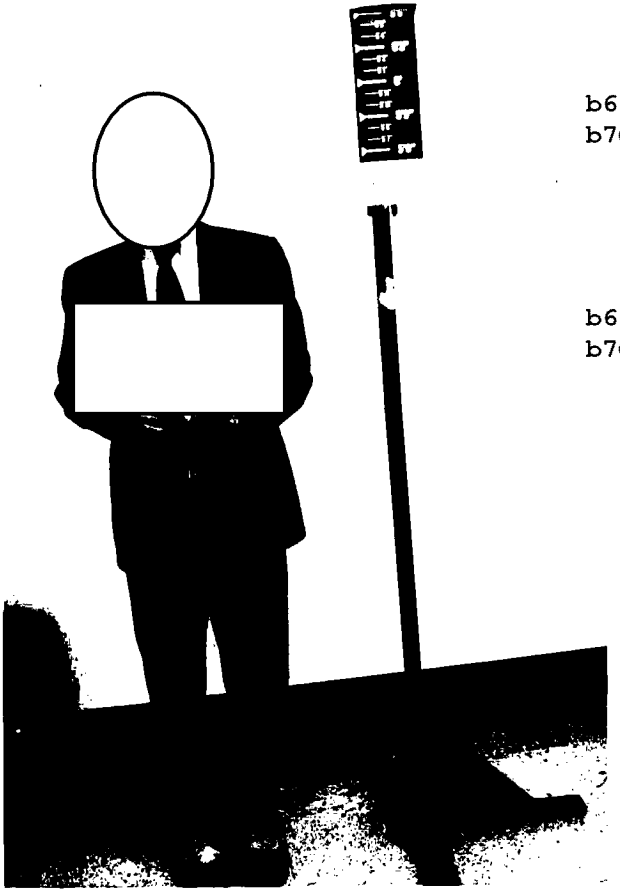

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FD-340 (7-19-00)

Universal Case File Number 29-3454 -1A6

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received 12/8/01

From [redacted] b6 b7C

(Name of Contributor)

(Address of Contributor)

[redacted] State) b6 b7C

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

Reference: _____

(Communication Enclosing Material)

Description: ☐ Original notes re interview of

FD192 (1B1)

Bulky Exhibit - Inventory of Property Acquired as Evidence
FD-192 (Rev. 6-9-82)

Date 9-8-89

Title and Character of Case

[redacted]

b6
b7C

FIRST AMERICAN BANK OF NEW YORK - VICTIM;
BF&E

Date Property Acquired	Source From Which Property Acquired
9-5-89	[redacted]

b3
b6
b7C

Location of Property or Bulky Exhibit	Reason for Retention of Property and Efforts Made to Dispose of Same
ALBANY EVIDENCE ROOM	EVIDENCE

To Be Returned	See Serial	Agent Submitting Property or Exhibit	Agent Assigned Case
☐ Yes ☒ No		[redacted]	

☒ Yes ☐ No Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure.

Description of Property or Exhibit

[redacted]

b3
b6
b7C

For Valuable and/or Narcotics Evidence Only

Evidence Bag Seal # _____

Signature of Two Special Agents Verifying and Sealing Bag Contents _____

SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

_____ _____ _____

_____ _____ _____

_____ _____ _____

29C-3454-1B1

BLOCK STAMP

SEARCHED [redacted] INDEXED _____
SERIALIZED FILED _____
SEP 8 1989
FBI — ALBANY

[redacted]

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b7C

Field File # 29C-3454

OO: ALBANY

EVIDENCE (PACKAGE COPY)

CHAIN OF CUSTODY

Accepted Custody	Date	Time		Date	Time
Signature [redacted]			[redacted]		
Reason Evidence	9/8/89	1:30 p	[redacted] Storage	9/8/89	4:35 p
Signature [redacted]			[redacted]		
Reason Storage	9/8/89	4:35	tot to SA [redacted]	2/1/90	2:45 p
Signature [redacted]			[redacted]		
Reason Review	2/1/90	2:45 p	SOT to [redacted]	2/1/90	5:40 A
Signature [redacted]			[redacted]		
Reason Storage	2/7/90	9:40	tot SA [redacted]	2/21/90	3:[illegible]
Signature [redacted]			[redacted]		
Reason Review	2/21/90	3:19 p	to AUSA [redacted]	4/26/90	2:15 p
Signature					
Reason					
Signature					
Reason					
Signature					
Reason					
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Signature					
Reason					

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Item No.	Remarks

Bulky Exhibit - Inventory of Property Acquired as Evidence
FD-192 (Rev. 6-9-82)

Date 9-8-89

Title and Character of Case

[redacted]

FIRST AMERICAN BANK OF NEW YORK - VICTIM; BF+E

b6
b7C

Date Property Acquired	Source From Which Property Acquired
9-5-89	[redacted]

b3
b6
b7C

Location of Property or Bulky Exhibit	Reason for Retention of Property and Efforts Made to Dispose of Same
ALBANY EVIDENCE ROOM	EVIDENCE

To Be Returned	See Serial	Agent Submitting Property or Exhibit	Agent Assigned Case
☐ Yes ☒ No		[redacted]	

☒ Yes ☐ No Grand Jury Material - Disseminate Only Pursuant to Rule 6(e), Federal Rules of Criminal Procedure.

Description of Property or Exhibit

[redacted]

b3
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b7C

GRAND JURY MATERIAL-DISSEMINATE ONLY
PURSUANT TO RULE 6(e). Fed. R. Crim. P.

Permanently charged out to USA's Office

For Valuable and/or Narcotics Evidence Only

Evidence Bag Seal # _____

Signature of Two Special Agents Verifying and Sealing Bag Contents _____

SEMIANNUAL INVENTORY CERTIFICATION TO JUSTIFY RETENTION OF PROPERTY (Initial and Date)

29C-3454-1B1

BLOCK STAMP

SEARCHED INDEXED
SERIALIZED FILED
S 8 1989
FBI — ALBANY

[redacted]

b6
b7C

Field File # 29C-3454

OO: ALBANY

ORIGINAL (FILE COPY)

CHAIN OF CUSTODY

Accepted Custody	Date	Time	Released Custody	Date	Time
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		
Signature _____ Reason			_____		

Item No. **Remarks**

DCJS - 9 (12/78)

DIVISION OF CRIMINAL JUSTICE SERVICES
OFFICE OF IDENTIFICATION & DATA SYSTEMS
EXECUTIVE PARK TOWER - STUYVESANT PLAZA
ALBANY, NEW YORK 12203

CORRESPONDENCE INQUIRY

INSTRUCTIONS: *This form is to be used only when a fingerprint card is not possible. Shaded boxes are required data elements. Item D - Indicate specific purpose for inquiry.*

A. DATE: 8-15-88

B. REQUEST FOR
- [x] Criminal Record
- [ ] Other (Specify) _____

C. REQUESTING AGENCY (NAME, ADDRESS & TELEPHONE NO.)

D. REASON FOR REQUEST & CASE NUMBER: 29C- NEW

INPUT DATA

1. NYSID NO.
2. NAME (LAST, FIRST, MIDDLE)
3. ADDRESS (LAST KNOWN)

[redacted] b6 b7C

4. NICKNAME
5. ALIAS AND/OR MAIDEN NAME
6. SEX: M [X] F [ ]
7. RACIAL APPEARANCE: White [X] | Negro | Am. Indian | Japan | Chin. | Other
8. SKIN TONE: Light | Medium | Dark
9. HEIGHT: Ft. | In.
10. DATE OF BIRTH
11. AGE
12. PLACE OF BIRTH

[redacted] b6 b7C

13. AGENCY ID NO.
14. SOCIAL SECURITY NO.

[redacted]

15. FBI NO.
16. ADDITIONAL DATA (KNOWN CRIMINAL ACTIVITY, PHYSICAL ODDITIES, ETC.)

CONTROL DATA

17. NAME OF REQUESTING OFFICER

[redacted]

18. AUTHORIZED BY (SIGNATURE)
19. TITLE

DCJS USE ONLY

***PLEASE CHECK TYPE OF CRIMINAL HITORY REQUESTED

_____ NEW YORK STATE DCJS CRIMINAL HISTORY SUMMARY (ONLY)
_____ NCIC CRIMINAL CASE HISTORY SUMMARY (ONLY)
X BOTH NCIC AND NYDCJS CRIMINAL HISTORY SUMMARIES
_____ OTHER/SPECIFIC STATE CRIMINAL HISTORY- NAME STATE _____

RESULTS OF INQUIRY

DATE 8/15/88

- [x] NO CRIMINAL RECORD
- [ ] RECORD ATTACHED /1
- [ ] OTHER (SEE REMARKS)

REMARKS:

29C-3454

SEAR SERIALIZ... MAILED FILED

[redacted] b6 b7C

/1 This response is based on other than a fingerprint identification.

DISTRIBUTION
White Original – DCJS Work and File Copy
Blue Copy – DCJS Response Transmittal
Yellow Copy – Requester's File Copy

Best Copy Available

13/66 0539574 CHGT06714 GCAP03838 GRS004860 GCOS06851
1531 GSAN03043 GEGR03780 GTRU05012 GALF02346 GALG02118 GALH02432

NSUF APTS
T TRUCK PERMIT FILE IN SERVICE 08/15/88 AT 11:08:06

[redacted] - NAME INQUIRY TO DETERMINE IF CHRI IS AVAILABLE
FOR PURPOSE "C" ONLY

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FILE 15
PURPOSE/C.REASON/CRI.ORI/NYFBIAL00.IDENT #/I29C-NEW
[redacted] .SEX/M.RAC/U.DOB [redacted] SKIN TONE/*.HGT/*
.FBI/* .MNU/*
.ALIAS#2/*
.ALIAS#4/*
DOUGLAS

8/15/88 0572301 QFSA01533
IA
CE ERROR 00077 CORRECTED TO 00078

Best Copy Available

[illegible] 08/15/88 0572509 QFDA01634
[illegible] DA 1117
[illegible]
[illegible] JYSPIN WANTED PERSONS FILE
[redacted] /M.RAC/U

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[illegible] 08/15/88 0572545 QFDA01635
[illegible] DA 1117
[illegible]
[illegible] SOD/[redacted] NAM/[redacted] SEX/M RAC/U

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[illegible] 08/15/88 0572551 QFDA01636
[illegible] DA 1118
[illegible] NO SUSPECTS

FROM ANY PARTICIPATING STATE OR AGENCY - PURPOSE "C" & "J" ONLY
[illegible]
FILE 15
[illegible] PURPOSE/C.ORI/MYFBIALOO
[illegible] .SEX/M.RAC/W.DOB [redacted] SOC/*

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Best Copy Available

[redacted] FILE 15
PURPOSE/C.DAI-NYFBIALOO
[redacted] .SEX/*.RAC/*.DOB/* .SOC/[redacted] b6 b7C
.FBI/* .SID/*

[redacted] 5/88 0574263 QFBA01640
[redacted] b6 b7C
ERROR 00079 CORRECTED TO 00080

[redacted] 15/08 0574313 QTBA01641
[redacted] RECORD IN THE NCIC INTERSTATE IDENTIFICATION INDEX
[redacted] SOC [redacted] b6 b7C
[redacted] DOES NOT PRECLUDE THE POSSIBLE EXISTENCE OF MATCHING
[redacted] LOCAL, STATE, OR FBI IDENTIFICATION DIVISION FILES THAT ARE
NOT INDICATED IN THE NCIC III. IF YOU DESIRE A SEARCH OF THE FBI
[redacted] DIVISION FILES, A FINGERPRINT CARD SHOULD BE SUBMITTED.
END

Best Copy Available

[illegible] 10/08 05/34EE WT0401537
[illegible] 1119

[illegible] FBIM WANTED PERSONS FILE

[redacted]

b6
b7C

[illegible] 10/08 05/3530 WT0A01458
[illegible] 1119

[redacted] NAM [redacted] SEX/M RAC/W

b6
b7C

[illegible] 10/08 05/3124 WT0A01459

[redacted]

b6
b7C

[illegible] RECORD IN THE NCIC INTERSTATE IDENTIFICATION INDEX
[illegible] PETER.SEX/M.RAC/W.DOB/102560.
[illegible] DOES NOT PRECLUDE THE POSSIBLE EXISTENCE OF MATCHING
[illegible] STATE, OR FBI IDENTIFICATION DIVISION FILES THAT ARE
[illegible] THE NCIC III. IF YOU DESIRE A SEARCH OF THE FBI
[illegible] DIVISION FILES, A FINGERPRINT CARD SHOULD BE SUBMITTED.

FD-302

FEDERAL BUREAU OF INVESTIGATION

Date of Transcription: 8/22/88

[redacted] b6 b7C

FIRST AMERICAN BANK OF NEW YORK, Albany, New York, was advised of the identity of the interviewing agent and the nature of the interview and thereafter voluntarily provided the following information:

[redacted] advised that an individual named [redacted] had written at least two bad checks on account number [redacted] at FIRST AMERICAN BANK. [redacted] advised that the current loss to FIRST AMERICAN BANK is $17,785.97. b6 b7C

[redacted] advised that the checks were written on the CLASSIC CAR LEASING, INCORPORATED, account using an address of [redacted] The checks were drawn on the BANK OF NEW YORK and returned to FIRST AMERICAN BANK, stamped account closed. b6 b7C

[redacted] stated he has been in contact with [redacted] and [redacted] is willing to come forward and speak to both FIRST AMERICAN BANK and the Federal Bureau of Investigation (FBI) regarding this matter. b6 b7C

Investigation on 8/11/88 at Albany, New York File #AL 29C-3454-5

by SA [redacted] Date dictated 8/11/88 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302

FEDERAL BUREAU OF INVESTIGATION

Date of Transcription: 8/22/88

[redacted] was advised of the identity of the interviewing agent and the nature of the interview and thereafter voluntarily provided Special Agent (SA) [redacted] with the following information: b6 b7C

The interview took place in the office of and in the presence of [redacted] FIRST AMERICAN BANK OF NEW YORK, Albany, New York. b6 b7C

[redacted] stated that he was [redacted] in a company called CLASSIC CAR LEASING, INCORPORATED, with an individual by the name of [redacted] stated that although he has not had contact with [redacted] in over eight months, he is still considered a [redacted] stated that the last time he was paid by this company was back in January or February of 1988. [redacted] stated that [redacted] owes [redacted] a lot of money because [redacted] invested quite a bit of money at the inception of this company. [redacted] stated that in the last three years, he has invested approximately $100,000.00 of his own money. b6 b7C

2/3

[redacted] stated that he had some checks from the CLASSIC CAR LEASING, INCORPORATED, account drawn on the BANK OF NEW YORK. [redacted] stated that out of stupidity, he began writing checks on this account. [redacted] advised that he wrote at least seven or eight checks on the CLASSIC CAR LEASING, INCORPORATED, account. [redacted] advised that he signed his signature, along with [redacted] signature, on the bottom of the checks since it required a dual signature authorization. b6 b7C

Investigation on 8/12/88 at Albany, New York File #AL 29C-3454-6

by SA [redacted] Date dictated 8/15/88 [redacted] b6 b7C

SEARCHED
SERIALIZED
INDEXED
FILED

This document contains neither recommendations nor conclusions the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Continuation of interview of [redacted] on 8/12/88 b6 b7C

[redacted] advised that he wrote checks on the account after the account was closed [redacted] stated it was simply stupidity that caused him to begin writing these checks. [redacted] advised that normally, there was $10,000.00 to $20,000.00 carried in the account; however, [redacted] never advised anyone that he was writing the checks. b6 b7C

[redacted] stated that the checks had come back -- account closed; and when he contacted [redacted] regarding a check dated June 31, 1988, for $19,851.00, [redacted] promised to repay the money. [redacted] stated that [redacted] wrote a check dated August 5, 1988, for $16,785.00 to cover the loss on the first check. [redacted] advised that this check was subsequently returned -- account closed. b6 b7C

[redacted] stated that he did write the checks on the CLASSIC CAR LEASING, INCORPORATED, account knowing that the account was closed and the checks were no good. [redacted] stated he wrote these checks out of stupidity and for no other reason. b6 b7C

The following information was obtained through observation and interview:

Name: [redacted] b6 b7C

Address:

Telephone Numbers:

Employment: Lazar's Lincoln Mercury
144 Wolfe Road
Albany, New York

Date of Birth: [redacted] b6 b7C

Place of Birth:

Hair:

Eyes:

Height:

Weight:

Continuation of interview of [redacted] on 8/12/88 b6 b7C

Social Security Number: [redacted] b6 b7C

Arrest Record: None stated

FD-320 (Rev. 4-11-86)

In Reply, Please Refer to

File No. AL 29C-3454

FBI CASE STATUS FORM

*Date: 8/30/88

To: FREDERICK J. SCULLIN
United States Attorney
369 Federal Building
Syracuse, New York
(Name and Address of USA)

From: SAC WAYNE R. ALFORD
(Name of Official in Charge and Field Division)

(Signature of Official in Charge)

RE: [redacted] 28 M
(Name of Subject) Age Sex

b6
b7C

You are hereby advised of action authorized by [redacted]
(Name of USA or AUSA)

on information submitted by Special Agent [redacted] on August 22, 1988
(Name) (Date)

(Check One)

- [X] Request further investigation
- [ ] Immediate declination
- [ ] Filing of complaint
- [ ] Presentation to Federal Grand Jury
- [ ] Filing of information
- [ ] Other

For violation of Title 18, USC, Section(s) 1344

Synopsis of case:

[redacted] admitted to knowingly passing a check for more than $17,000.00 to First American Bank which was drawn on insufficient funds.

b6
b7C

[redacted]

2- ADDRESSEE
1- ALBANY (29C-3454)
[redacted]
(3)

29 C-3454-1

[redacted]

b6
b7C

FD-272 (Rev. 3-3-59)

FEDERAL BUREAU OF INVESTIGATION

Reporting Office	Office of Origin	Date	Investigative Period
ALBANY	ALBANY	8/24/88	8/12-24/88

Title of Case

[redacted]

FIRST AMERICAN BANK OF NEW YORK,
ALBANY, NEW YORK-VICTIM

Report made by: SA [redacted]

Typed By: LVB

b6
b7C

Character of Case

BANK FRAUD

XXXSUMMARYXXX
PROSECUTIVE

Approved [redacted]

Special Agent in Charge

Do not write in spaces below

Copies made:

1-USA, NDNY
(ATTN: AUSA [redacted]
2-ALBANY (29C-3454)
[redacted]

[redacted]

b6
b7C

Notations:

29-3454-8

SEARCHED
SERIALIZED
INDEXED
FILED

[redacted]

b6
b7C

COVER PAGE

A*

FBI/DOJ

FD-517 (Rev. 2-17-82)

FEDERAL BUREAU OF INVESTIGATION

PROSECUTIVE REPORT OF INVESTIGATION CONCERNING

[redacted] b6 b7C

BANK FRAUD AND EMBEZZLEMENT

Copy to: USA, NORTHERN DISTRICT OF NEW YORK,
SYRACUSE, NEW YORK
(ATTN: AUSA [redacted] b6 b7C

FBI/DOJ

TABLE OF CONTENTS

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1.	NARRATIVE OF OFFENSE	B
2.	NAME OF DEFENDANT	C
3.	PROSECUTIVE STATUS	D
4.	WITNESSES	E
5.	PRIOR ARREST	1
6.	TABLE OF CONTENTS FOR REPORT FORMS (FD-302's)	2
7.	REPORT FORMS (FD-302's)	3

FD-518(Rev.2/17/82)

Report of: SA [redacted] **Office:** ALBANY b6 b7C
Date: AUGUST 24, 1988

Field Office File #: AL 29C-3454 **Bureau File #:**

Narrative of Offense:

This investigation was instituted upon receipt of information from an official of First American Bank of New York (FAB), Albany, New York, a federally insured financial institution. FAB advised that an individual using the name [redacted] [redacted] has deposited checks from the account of Classic Car Leasing, Inc. drawn on the Bank of New York, Great Neck, New York, into his personal account at FAB. FAB stated that [redacted] withdrew the funds from FAB before the deposited items were returned unpaid by the Bank of New York. FAB stated that the loss to date is $17,785.97. b6 b7C

[redacted] advised that he knowingly deposited checks drawn on the Classic Car Leasing, Inc. account knowing that there were insufficient funds in that account. [redacted] advised that he did this out of stupidity, and is willing to clear up this matter. b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

NAME OF DEFENDANT:

[redacted] b6 b7C

Described as:

RACE:
SEX:
DATE OF BIRTH:
PLACE OF BIRTH:
HEIGHT:
WEIGHT:
EYES:
HAIR:
SOCIAL SECURITY
ACCOUNT NUMBER:
CURRENT HOME ADDRESS:

[redacted] b6 b7C

CURRENT EMPLOYMENT: Lazar Lincoln Mercury,
144 Wolf Road
Albany, New York

PROSECUTIVE STATUS:

On August 17, 1988, the facts of this matter were presented to Assistant United States Attorney (AUSA) [redacted] Northern District of New York, Albany, New York. AUSA [redacted] advised that [redacted]

b5
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b7C

WITNESSES:

[redacted] b6 b7C

First American Bank of New York,
Albany, New York
telephone number: [redacted]

Can provide FDIC Certificate #16628-6 indicating the First American Bank of New York, Albany area, is insured by the Federal Government.

Can provide details surrounding the banking activities of [redacted] and the admission of [redacted] to knowingly passing the insufficient fund checks. b6 b7C

Interview can be found on page 3.

[redacted] b6 b7C

Special Agent
Federal Bureau of Investigation
Albany, New York
518-465-7551

Can provide details surrounding the admission of [redacted] to knowingly passing checks drawn on insufficient funds.

Interview can be found on pages 4-6.

PRIOR ARRESTS:

A search was conducted through the National Crime Information Center and New York State Department of Criminal Justice System for any criminal history relating to [redacted] b6 b7C A search of both of these systems revealed that [redacted] has no prior record.

TABLE OF CONTENTS

FOR REPORT FORMS (FD-302's)

		PAGE
1.	[redacted]	3
2.	[redacted]	4-6

b6
b7C

FD-302

FEDERAL BUREAU OF INVESTIGATION

Date of Transcription: 8/22/88

[redacted] b6 b7C

FIRST AMERICAN BANK OF NEW YORK, Albany, New York, was advised of the identity of the interviewing agent and the nature of the interview and thereafter voluntarily provided the following information:

[redacted] advised that an individual named [redacted] had written at least two bad checks on account number [redacted] at FIRST AMERICAN BANK. [redacted] advised that the current loss to FIRST AMERICAN BANK is $17,785.97. b6 b7C

[redacted] advised that the checks were written on the CLASSIC CAR LEASING, INCORPORATED, account using an address of [redacted] The checks were drawn on the BANK OF NEW YORK and returned to FIRST AMERICAN BANK, stamped account closed. b6 b7C

[redacted] stated he has been in contact with [redacted] and [redacted] is willing to come forward and speak to both FIRST AMERICAN BANK and the Federal Bureau of Investigation (FBI) regarding this matter.

Investigation on 8/11/88 at Albany, New York File #AL 29C-3454

by SA [redacted] Date dictated 8/11/88 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302

FEDERAL BUREAU OF INVESTIGATION

Date of Transcription: 8/22/88

[redacted] was advised of the identity of the interviewing agent and the nature of the interview and thereafter voluntarily provided Special Agent (SA) [redacted] with the following information: b6 b7C

The interview took place in the office of and in the presence of [redacted] FIRST AMERICAN BANK OF NEW YORK, Albany, New York. b6 b7C

[redacted] stated that he was [redacted] n a company called CLASSIC CAR LEASING, INCORPORATED, with an individual by the name of [redacted] stated that although he has not had contact with [redacted] in over eight months, he is still considered a [redacted] stated that the last time he was paid by this company was back in January or February of 1988. [redacted] stated that [redacted] owes [redacted] a lot of money because [redacted] invested quite a bit of money at the inception of this company. [redacted] stated that in the last three years, he has invested approximately $100,000.00 of his own money. b6 b7C

[redacted] stated that he had some checks from the CLASSIC CAR LEASING, INCORPORATED, account drawn on the BANK OF NEW YORK. [redacted] stated that out of stupidity, he began writing checks on this account. [redacted] advised that he wrote at least seven or eight checks on the CLASSIC CAR LEASING, INCORPORATED, account [redacted] advised that he signed his signature, along with [redacted] signature, on the bottom of the checks since it required a dual signature authorization. b6 b7C

Investigation on 8/12/88 at Albany, New York File #AL 29C-3454

by SA [redacted] Date dictated 8/15/88 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

Continuation of interview of [redacted] on 8/12/88 b6 b7C

[redacted] advised that he wrote checks on the account after the account was closed. [redacted] stated it was simply stupidity that caused him to begin writing these checks. [redacted] advised that normally, there was $10,000.00 to $20,000.00 carried in the account; however, [redacted] never advised anyone that he was writing the checks. b6 b7C

[redacted] stated that the checks had come back -- account closed; and when he contacted [redacted] regarding a check dated June 31, 1988, for $19,851.00, [redacted] promised to repay the money. [redacted] stated that [redacted] wrote a check dated August 5, 1988, for $16,785.00 to cover the loss on the first check. [redacted] advised that this check was subsequently returned -- account closed. b6 b7C

[redacted] stated that he did write the checks on the CLASSIC CAR LEASING, INCORPORATED, account knowing that the account was closed and the checks were no good. [redacted] stated he wrote these checks out of stupidity and for no other reason. b6 b7C

The following information was obtained through observation and interview:

Name:

Address:

Telephone Numbers:

Employment:

Date of Birth:

Place of Birth:

Hair:

Eyes:

Height:

Weight:

b6 b7C

Continuation of interview of [redacted] on 8/12/88 b6 b7C

Social Security Number: [redacted] b6 b7C

Arrest Record: None stated

Memorandum

To : SAC, ALBANY (29C-3454) (P) Date 10/17/88

From : SA [redacted] b6 b7C

Subject : [redacted]
FIRST AMERICAN BANK OF NEW YORK
35 STATE STREET
ALBANY, NEW YORK - VICTIM
BF & E
(OO: ALBANY)

On October 3, 1988, writer contacted [redacted] b6 b7C [redacted] FIRST AMERICAN BANK (FAB), Albany, New York, regarding captioned matter. [redacted] advised that he had received approximately $1,000.00 as a partial restitution payment which was to be applied to the loss of [redacted] advises that he feels there is more to this situation than [redacted] is admitting to.

[redacted] and the writer have been in contact with [redacted] over the last several weeks, and [redacted] has continually promised to pay the money off, yet has only come through with a $1,000.00 payment. b6 b7C

[redacted]

29C - 3454 - 8

SEARCHED

2 - Albany

[redacted]

[redacted] b6 b7C

Memorandum

To : SAC, ALBANY (29C-3454) (P) Date 2/23/89

From : SA [redacted] b6 b7C

Subject : [redacted]
FIRST AMERICAN BANK OF NEW YORK,
ALBANY, NEW YORK-VICTIM;
BF & E
OO: ALBANY

On 2/11/89, writer contacted Assistant United States Attorney (AUSA) [redacted] regarding captioned matter. SA [redacted] reiterated the substance of captioned matter to AUSA [redacted] and the fact that [redacted] was willing to straighten this matter out and plead to a felony count regarding the bank fraud. AUSA [redacted] b5 b6 b7C

[redacted]

Reassign [redacted] b6 b7C

2- Albany
[redacted]

1*

29C-3454-10

[redacted] b6 b7C

[redacted]

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

MEMORANDUM

DATE: 3/23/89

TO : SAC, ALBANY (29C-3454) (P)

FROM : SA [redacted] b6 b7C

SUBJECT : [redacted]
FIRST AMERICAN BANK OF NEW YORK,
ALBANY, NEW YORK-VICTIM;
BF & E
OO: ALBANY

On 3/21/89, writer contacted Assistant United States Attorney (AUSA) [redacted] regarding captioned matter. AUSA [redacted] stated that a target letter had been sent to [redacted] via certified mail and was not delivered. AUSA [redacted] stated [redacted] b5 b6 b7C

(1)

(2) Albany
[redacted]

29C-3454-11

[redacted] b6 b7C

1*

[redacted]

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

MEMORANDUM

DATE: 4/13/89

TO : SAC, ALBANY (29C-3454) (P)

FROM : SA [redacted] b6 b7C

SUBJECT : [redacted]
FIRST AMERICAN BANK OF NEW YORK,
ALBANY, NEW YORK-VICTIM;
BF & E
OO: ALBANY

On 4/6/89, writer contacted Assistant United States Attorney (AUSA) [redacted] regarding captioned matter. AUSA [redacted] suggested that writer contact [redacted] concerning a [redacted] b5 b6 b7C

[redacted] b6 b7C

(2)- Albany

[redacted]

29C-3454-12

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SERIALIZ

[redacted] b6 b7C

1* [redacted]

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

MEMORANDUM

DATE: 5/24/89

TO : SAC, ALBANY (29C-3454) (P)

FROM : SA [redacted] b6 b7C

SUBJECT [redacted]
FIRST AMERICAN BANK OF NEW YORK-VICTIM;
BF & E
OO: ALBANY

On 5/10/89, writer met with Assistant United States Attorney (AUSA) [redacted] and [redacted] in AUSA [redacted] office. AUSA [redacted] advised [redacted] that he could [redacted] b5 b6 b7C

AUSA [redacted] suggested that [redacted] obtain an attorney to represent him [redacted] and have that attorney contact AUSA [redacted] b5 b6 b7C

2- Albany
[redacted]

29C-3454-13
SEARCHED INDEXED
SERIALIZED FILED
MAY 26
[redacted] b6 b7C

1*

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

MEMORANDUM

DATE: 7/7/89

TO : SAC, ALBANY (29C-3454) (P)

FROM : SA [redacted] b6 b7C

SUBJECT : [redacted]
FIRST AMERICAN BANK
OF NEW YORK-VICTIM;
BF & E
OO: ALBANY

On 6/7/89, [redacted] contacted writer stating that he was going to obtain an attorney and have his attorney contact AUSA [redacted] b6 b7C

On 6/29/89, writer contacted AUSA [redacted] AUSA [redacted] b5 b6 b7C

2- Albany

[redacted]

29C-3454-14

[redacted] b6 b7C

1*

[redacted]

[redacted]

29C-3454-16

SEP 08 1989

b6
b7C

AO 110 (Rev. 5/85) Subpoena to Testify Before Grand Jury

United States District Court

NORTHERN DISTRICT OF NEW YORK 3/88-

TO: [redacted] b3

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR: [redacted]

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
United States Grand Jury Northern District of New York James T. Foley Courthouse 445 Broadway, Room 417 Albany, New York 12207	Grand Jury Room [redacted]

b3
b6
b7C

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):* [redacted]

☐ *Please see additional information on reverse*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK	DATE
J.R. SCULLY	Aug. 14, 1989

[redacted] b6 b7C

This subpoena is issued on application of the United States of America

THE UNITED STATES ATTORNEY
Northern District of New York

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

FREDERICK J. SCULLIN, JR.
UNITED STATES ATTORNEY
BY: [redacted]
Assistant U.S. Attorney
[redacted]

*If not applicable, enter "none".

FD-302 (rev 3/8/7

FEDERAL BUREAU OF INVESTIGATION

Date of Transcription
8/31/89

1

[redacted]

b3
b6
b7C

Interviewed on 8/28/89 at Albany, NY File# 29C-3454 - 17

By SA [redacted] Date dictated 8/

b6
b7C

This document contains neither recommendations nor concl
FBI. It is the property of the FBI and is loaned to your a
and its contents are not to be distributed outside your age

Memorandum

To : SAC, ALBANY (29-0) Date 9/21/89

From : SSA [redacted] b6 b7C

Subject: BANK FRAUD AND EMBEZZLEMENT MATTERS
RECENT LEGISLATIVE AND REPORTING CHANGES

Re Bu Airtel dated 9/8/89, captioned as set out supra.

Pursuant to the above-referenced airtel, the following changes of case classifications will take place effective 9/24/89:

FORMER		NEW
29A-AL-28650	to	29B-AL-28650
29A-AL-28682	to	29E-AL-28682
29A-AL-~~28960~~	~~to~~ 28690	29E-AL-~~28960~~ 28690
29A-AL-28822	to	29B-AL-28822
29A-AL-29212	to	29B-AL-29212
29A-AL-29254	to	29E-AL-29254
29A-2986	to	29B-2986
29A-3100	to	29B-3100
29A-3107	to	29B-3107
29A-3168	to	29B-3168
29A-3242	to	29B-3242
29A-3247	to	29B-3247
29A-3255	to	29B-3255
29A-3281	to	29B-3281
29A-3283	to	29B-3283
29A-3288	to	29B-3288
29A-3313	to	29B-3313
29A-3337	to	29H-3337
29A-3364	to	29B-3364
29A-3365	to	29B-3365
29A-3367	to	29E-3367
29A-3380	to	29B-3380
29A-3381	to	29B-3381
29A-3386	to	29B-3386
29A-3400	to	29B-3400
29A-3431	to	29B-3431
29A-3433	to	29B-3433
29A-3542	to	29B-3542

All cases have been changed. [redacted]

b6 b7C

29K-3454-18

SEARCH [redacted] INDEX [redacted]
SERIAL [redacted] FILED [redacted]
OCT 03 1989
FBI — ALBANY

2 - Copies Each 29 Case
2 - 29-0

[redacted]

[redacted] b6 b7C

FORMER		NEW
29A-3438	to	29B-3438
29A-3442	to	29B-3442
29A-3450	to	29B-3450
29A-3458	to	29E-3458
29A-3471	to	29B-3471
29A-3478	to	29B-3478
29A-3480	to	29B-3480
29A-3508	to	29B-3508
29A-3522	to	29H-3522
29B-AL-28696	to	29C-AL-28696
29B-AL-28769	to	29C-AL-28769
29B-AL-29278	to	29C-AL-29278
29B-AL-28784	to	29C-AL-28784
29B-AL-28946	to	29C-AL-28946
29B-AL-28956	to	29I-AL-28956
29B-AL-29134	to	29C-AL-29134
29B-AL-29149	to	29C-AL-29149
29B-3121	to	29F-3121
29B-3174	to	29C-3174
29B-3249	to	29C-3249
29B-3260	to	29C-3260
29B-3302	to	29C-3302
29B-3325	to	29C-3325
29B-3334	to	29C-3334
29B-3339	to	29C-3339
29B-3343	to	29C-3343
29B-3356	to	29I-3356
29B-3358	to	29F-3358
29B-3366	to	29C-3366
29B-3368	to	29C-3368
29B-3395	to	29C-3395
29B-3397	to	29I-3397
29B-3418	to	29C-3418
29B-3451	to	29C-3451
29B-3470	to	29C-3470
29B-3474	to	29C-3474
29B-3479	to	29C-3479
29B-3483	to	29C-3483
29B-3494	to	29C-3494
29B-3528	to	29C-3528
29B-3540	to	29C-3540
29C-AL-28590	to	29K-AL-28590
29C-AL-28668	to	29K-AL-28668
29C-AL-28824	to	29K-AL-28824
29C-AL-28859	to	29K-AL-28859
29C-AL-28909	to	29K-AL-28909
29C-AL-29277	to	29K-AL-29277
29C-AL-28982	to	29K-AL-28982
29C-AL-29002	to	29K-AL-29002

FORMER		NEW
29C-AL-29030	to	29K-AL-29030
29C-AL-29033	to	29K-AL-29033
29C-AL-29054	to	29K-AL-29054
29C-AL-29109	to	29K-AL-29109
29C-AL-29136	to	29K-AL-29136
29C-AL-29148	to	29K-AL-29148
29C-AL-29151	to	29K-AL-29151
29C-AL-29203	to	29K-AL-29203
29C-AL-29215	to	29K-AL-29215
29C-AL-29227	to	29K-AL-29227
29C-AL-29267	to	29K-AL-29267
29C-AL-29273	to	29K-AL-29273
29C-3208	to	29K-3208
29C-3301	to	29K-3301
29C-3312	to	29K-3312
29C-3330	to	29K-3330
29C-3335	to	29K-3335
29C-3336	to	29K-3336
29C-3361	to	29K-3361
29C-3373	to	29K-3373
29C-3375	to	29K-3375
29C-3385	to	29K-3385
29C-3390	to	29K-3390
29C-AL-29264	to	29K-AL-29264
29C-3401	to	29K-3401
29C-3411	to	29K-3411
29C-3420	to	29K-3420
29C-3441	to	29K-3441
29C-3448	to	29K-3448
29C-3453	to	29K-3453
29C-3454	to	29K-3454
29C-3467	to	29K-3467
29C-3473	to	29K-3473
29C-3525	to	29K-3525
29C-3538	to	29K-3538

CASE AGENTS WILL BE REQUIRED TO ADVISE AUXILIARY OFFICES OF CASE RECLASSIFICATIONS TO ENSURE PROPER REPORTING BY AUXILIARY OFFICES. NOTIFICATION SHOULD BE DONE AS EXPEDITIOUSLY AS POSSIBLE.

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

MEMORANDUM

DATE: 11/17/89

TO : SAC, ALBANY (29K-AL-3454) (P)

FROM : SA [redacted] b6 b7C

SUBJECT : [redacted]
FIRST AMERICAN BANK OF
NEW YORK-VICTIM;
BF & E
OO: ALBANY

On 11/15/89, writer contacted Assistant United States Attorney (AUSA) [redacted] regarding captioned matter. [redacted] advised that this case had been reassigned with the United States Attorney's Office to AUSA [redacted] b6 b7C

2- Albany

[redacted]

1*

[redacted]

29K-AL-3454-20

SEARCHE
SERIALIZ

[redacted] b6 b7C

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

MEMORANDUM

DATE: 1/16/90

TO : SAC, ALBANY (29K-3454) (P)

FROM : SA [redacted] b6 b7C

SUBJECT [redacted]
FIRST AMERICAN BANK OF
NEW YORK-VICTIM;
BF & E
OO: ALBANY

On 1/5/90, writer contacted Assistant United States Attorney (AUSA) [redacted] regarding captioned matter. AUSA [redacted] stated this case had recently been reassigned to him from former AUSA [redacted] b6 b7C

Writer requested an arrest warrant from AUSA [redacted] for [redacted] AUSA [redacted] stated that he was not ready to make that determination at this time. AUSA [redacted] stated that he needed to review this case before making a decision.

[redacted] Albany [redacted] b6 b7C

1*

29K-3454-21

SEARCHED [redacted] INDEXED
SERIALIZED FILED
JAN 22 1990

[redacted] b6 b7C

Memorandum

To : SAC, ALBANY (29K-3454) (P) Date 2/19/90

From : SA [redacted] b6 b7C

Subject: [redacted]
FIRST AMERICAN BANK
OF NEW YORK - VICTIM;
BF & E
OO: ALBANY

On 2/13/90, Assistant United States Attorney (AUSA) [redacted] contacted writer regarding captioned matter. AUSA [redacted] advised that Federal Grand Jury [redacted] b3 b6 b7C

(2) - Albany
[redacted] b6 b7C

29K-3454-23

[redacted] FEB 22 19[illegible] [redacted] b6 b7C

29 K-3454 -24

SEARCHED
SERIALIZE

DEXED
LED

FEB 27 199

b6
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AO 442 (Rev. 12/85) Warrant for Arrest

United States District Court

NORTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA
V.

[redacted]

WARRANT FOR ARREST

b6
b7C

CASE NUMBER: 90-CR-42

To: The United States Marshal
and any Authorized United States Officer

JUDGE McAVOY

YOU ARE HEREBY COMMANDED to arrest [redacted] b6 b7C
Name

and bring him or her forthwith to the nearest magistrate to answer a(n)

☒ Indictment ☐ Information ☐ Complaint ☐ Order of court ☐ Violation Notice ☐ Probation Violation Petition

charging him or her with (brief description of offense)

bank fraud and bank larceny

in violation of Title 18 United States Code, Section(s) 1344 and 2113(b)

RALPH W. SMITH, JR.
Name of Issuing Officer

UNITED STATES MAGISTRATE
Title of Issuing Officer

Ralph W Smith Jr
Signature of Issuing Officer

February 22, 1990 - Albany, New York
Date and Location

Bail fixed at $ _____ by _____
Name of Judicial Officer

29K - 3454 - 25

SEARCHED
SERIALIZED
INDEXED
7 1990

b6
b7C

U.S. DISTRICT COURT
N.D. OF N.Y.
FILED
FEB 22 1990
Clerk
ALBANY

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA [redacted] b6 b7C

v.

[redacted]

Defendant.

I N D I C M E N T

Criminal No. 90-CR-42

[VIO: 18 U.S.C. §§ 1344, 2113(b)]

6 Counts

JUDGE McAVOY

COUNT I

THE GRAND JURY CHARGES THAT:

1. From on or about February 1, 1988 and continuing to on or about August 20, 1988, in the State and Northern District of New York, [redacted] the defendant herein, did knowingly and willfully execute a scheme and artifice to defraud the First American Bank of New York, the deposits of which were then insured by the Federal Deposit Insurance Corporation. b6 b7C

2. The scheme and artifice to defraud so devised and intended to be devised by defendant [redacted] was, in substance, as follows: b6 b7C

a. It was a part of the scheme and artifice that defendant [redacted] would and did maintain checking account number [redacted] at the First American Bank of New York into which defendant [redacted] deposited checks drawn on the Bank of New York account number [redacted] of Classic Car Leasing, Inc., which account b6 b7C

was then closed and held no funds as defendant [redacted] there and then well knew and believed. b6 b7C

b. It was a further part of the scheme and artifice that defendant [redacted] would and did sign and forge the name of the co-signer on the checks drawn on the Bank of New York account of Classic Car Leasing, Inc. before depositing said checks. b6 b7C

c. It was a further part of the scheme and artifice that defendant [redacted] would and did write checks drawn on his account at the First American Bank of New York in an approximate total amount of $17,785.97, defendant [redacted] well knowing that said account held insufficient funds to pay the checks because the deposited checks from the Classic Car Leasing, Inc. account at the Bank of New York against which the checks were written were of no value. b6 b7C

In violation of 18 U.S.C. § 1344.

COUNTS II-VI

THE GRAND JURY FURTHER CHARGES THAT:

On or about the dates listed below in the State and Northern District of New York [redacted] the defendant herein, did take and carry away with intent to steal and purloin, from the First American Bank of New York, the deposits of which were then insured by the Federal Deposit Insurance Corporation, the following amounts of money belonging to and in the care, custody, control, management, and possession of the said bank, each such taking being b6 b7C

a separate count of this indictment:

COUNT	DATE	AMOUNT TAKEN
II	June 2, 1988	$1,500.00
III	June 13, 1988	$2,950.00
IV	June 27, 1988	$8,500.00
V	June 27, 1988	$2,500.00
VI	July 6, 1988	$2,500.00

Each in violation of 18 U.S.C. § 2113(b).

A TRUE BILL,

[redacted] b6 b7C

FOREPERSON OF THE GRAND JURY

FREDERICK J. SCULLIN, JR.
UNITED STATES ATTORNEY
NORTHERN DISTRICT OF NEW YORK

[redacted] b6 b7C

BY: [redacted]
ASSISTANT U.S. ATTORNEY

FD-523 (Rev. 8-23-84)

To: ~~Director, FBI~~ ADIC, NYO
(Attn: Photographic Processing Unit, ~~NEW YORK, NY~~
NEW YORK PHOTO LAB, NERCL

AIRTEL

From: SAC, Albany (29K-3454)

Cost Code: 3010

Date: 2-26-90

Subject: [redacted]
1st AMERICAN BANK - VICTIM,
Albany, New York
~~BF&E~~
OO: ALBANY

☒ Unclassified ☐ Confidential ☐ Secret

b6
b7C

ENCLOSURES:

CONTACT FOR INFORMATION: [redacted]

FTS- 563-0551

	Size	Type	Quantity
Film	135	C-41	1 roll
Negatives			
Prints			
Other (specify)			

(color and black & white work will not be accepted on same request)

WORK REQUESTED:

☐ Develop and print 1 ea. 3½ × 5

Other:

Develop and print two (2) from each frame.

JUSTIFICATION: Arrest photographs taken by [redacted] on 2/26/90, at:

[redacted]

" On-going Investigation "

b6
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PHOTO TECHNICIAN (Intl.) _____ 29K-3454-26

[redacted]

SEARCHED
SERIALIZED
INDEXED
FILED

[redacted]

b6
b7C

Enclosure

FD-515 (Rev.9-20-89)
Accomplishment Report
(Effective 10/1/89)
(Submitt within 30 days from date of accomplishment)

TO: Director, FBI

Date 3/7/90

FROM: SAC, ALBANY
SUBJECT:
[redacted]

FIRST AMERICAN BANK-VICTIM;
BF & E
OO: ALBANY

Bureau File Number
29K-3454
Field Office File Number
4
Squad or RA Number
[redacted]
Agent's Social Security No.
[redacted]

☐ X if case involves corruption of a public official (Federal, State or Local).

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Survell. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

b6
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b7E

A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments
		1

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			1
FBI Locates -			
Local Arrests -			

Subpoenas Served ____
Criminal Summons ____
Local Crim. Summons ____
FBI Subj. Resisted ____ ; Armed ____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
	$	$		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists ____ ; All Other Hostage Situations ____
Missing or Kidnaped Children Located ____

E. Civil Matters

RICO - Civil Convictions	Civil Suits Amount of Suit	Government Defendant	Government Plaintiff
		$	$
No. of Subj.	Settlement or Award	$	$ Enter AFA Payment Here

F. Seizures/Forfeitures

Property Type Code*	Seizures	Forfeitures Judicial	Forfeitures Administrative

G. Administrative Sanctions

Subject 1 Subject Description Code* -

	Time Frame Years	Months	
☐ Suspension			
☐ Debarment	29	3454	☐ Permanent 27

Subject 2 Subject Description Code* -

	Time Frame Years	Months	
☐ Suspension			
☐ Debarment			Permanent

b6
b7C

H. Final Judicial Process: Judicial District ____ District ____ State ____ Conviction or Pretrial Div. Date ____ Sentence Date ____ No. of Subjects ____ Acquitted ____ Dismissed ____

Subject 1 Subject Description Code*-

☐ Felony ☐ Misdemeanor ☐ Parole Revocation ☐ Probation Revocation	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs.	Mos.	Suspended Yrs.	Mos.	Probation Yrs.	Mos.
				Total Fines $					
☐ Plea ☐ Trial ☐ Pretrial Diversion				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs. - 8 yrs. susp. = 2 yrs. In-Jail.					

Subject 2 Subject Description Code* -

☐ Felony ☐ Misdemeanor ☐ Parole Revocation ☐ Probation Revocation	Conviction Title	Section	Counts	Combined Sentence In-Jail Yrs.	Mos.	Suspended Yrs.	Mos.	Probation Yrs.	Mos.
				Total Fines $					
☐ Plea ☐ Trial ☐ Pretrial Diversion				Add consecutive sentences together. Enter longest single concurrent sentence. Do not add concurrent sentences together. Sentence 10 yrs. - 8 yrs. susp. = 2 yrs. In-Jail.					

Attach additional forms if reporting final judicial process on more than two subjects, and submit a final disposition form (R-84) for each subject.

Remarks: (For every subject reported in Sections A, B, E, G, or H above, provide name, DOB, race*, sex, and if available POB and SSAN.

[redacted] DOB: [redacted] POB: [redacted]

b6
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On 2/22/90, a Federal Grand Jury returned a six count indictment charging [redacted] with bank fraud and bank larceny. On 2/22/90, United States Magistrate RALPH W. SMITH, JR., issued a warrant for the arrest of [redacted] On 2/26/90, [redacted] was arrested without incident by Buagents.

2 - Bureau (2- 29K-3454) (1- Statistics in Process Folder) (1- SSA [redacted]
[redacted] (6) [redacted]

FD-523 (Rev. 8-23-84)

THIS FORM MUST BE TYPED

AIRTEL

To: ~~DIRECTOR, FBI~~ ADIC, NYO
(Attn: Photographic Processing Unit, Rm 1B903, TL-51)

[redacted]

NEW YORK PHOTO LAB, NERCL
SAC, Albany (29K-3454)

Cost Code: 3010

Date 2-26-90

b6
b7C

Subject: [redacted]
1st AMERICAN BANK - VICTIM,
Albany, New York
BF&E
OO: ALBANY

☒ Unclassified ☐ Confidential ☐ Secret

ENCLOSURES:

CONTACT FOR INFORMATION: [redacted]

FTS- 563-0551

	Size	Type	Quantity
Film	135	C-41	1 roll
Negatives			
Prints			
Other (specify)			

(color and black & white work will not be accepted on same request)

WORK REQUESTED:

☐ Develop and print 1 ea. 3½ × 5

Other:

Develop and print two (2) from each frame.

8457

JUSTIFICATION:

Arrest photographs taken by [redacted] on 2/26/90, of:

DOB [redacted] POB: [redacted]

" On-going Investigation "

b6
b7C

29K-3454-28

PHOTO TECHNICIAN (Intl.) [redacted]

b6
b7C

SEARCHED ____ INDEXED ____
SERIALIZED ____ FILED ____

FBIHQ USE ONLY

Ack: SPS, LAB

Enclosures rec'd and ret'd with **Prints/Slides** to [redacted]

FBIHQ Enclosure

SEQ (FBIHQ ONLY)___ ___ ___ ___ PHOTOGRAPHIC WORK Cost Code . ___

NEGATIVES PRODUCED (in frames)		COLOR	B&W	Initl. Date
101	35mm			
102	120 or 70mm			
103	4 × 5			
104	8 × 10			
105	Dupe			
106	Orig. Title			
107	Red			
108	Mugs			
109	Portrait			
110	Object			
111	D.O. (FBIHQ only)			
112	G&G			
113	Executive (GS-16 & above)			
114	Crime Scene			
115	Surveillance			
116	Aerial			
117	Other (Specify)			
118	Copy			
119	Orig.			
120	Slide			
	TOTAL			

ROLL FILM PROCESSED (in rolls)		COLOR	B&W	TRANS	Initl. Date
201	110				
202	135 - 12				
203	135 - 20				
204	135 - 24				
205	135 - 36				
206	120				
207	220				
208	4 × 5				
209	8 × 10				

BULK FILM PROCESSED (in foot)		COLOR	B&W	TRANS	MICRO	DUPE	Initl. Date
301	16mm						
302	35mm						
303	70mm						
304	105mm						

PRINTS PRODUCED (in final prints)		COLOR	Initl. Date	B&W	Initl. Date
401	Contact				
402	2 × 3 C				
403	Cred M				
404	Cred C				
405	3½ × 5 M				
406	3½ × 5 C				
407	4 × 5 M				
408	4 × 5 C				
409	5 × 7 M				
410	5 × 7 C				
411	8 × 10 M				
412	8 × 10 C				
413	11 × 14 M				
414	11 × 14 C				
415	16 × 20 C				
416	20 × 24 C				
417	30 × 40 C				
418	40 × 60 C				
419	DOC				
420	Trans				

WORK REQUESTS

Priority	In-House	In	Out	Total
1				
2				
Total				
		Year to Date		

BPA	TIMES USED	AMT SPENT
BR		
Supplies		
Emerg. Color		

Silver Recovery Turn Ins	
Gallons	
Troy Ounces	

Training Provided	Prep Hrs.	Class Hrs.
FBI		
Other		

Video Work	(Hrs.)
Training	
Case work	
Other (Specify)	

Memorandum

To : SAC, ALBANY (29K-3454) (P) Date 4/17/90

From : SA [redacted] b6 b7C

Subject: [redacted]
FIRST AMERICAN BANK
OF NEW YORK - VICTIM;
BF & E
OO: ALBANY

On 4/17/90, Assistant United States Attorney (AUSA) [redacted] b6 b7C [redacted] contacted writer regarding captioned matter. AUSA [redacted] advised that [redacted] was scheduled to appear before HONORABLE RALPH W. SMITH, JR. on 4/24/90 at 9:30 am to plead guilty to a one count misdemeanor.

AUSA [redacted] stated that [redacted] was ready and willing to make full restitution to FIRST AMERICAN BANK. AUSA [redacted] requested the amount of the loss outstanding as of this date. Writer informed AUSA [redacted] that the outstanding loss was $4,655.83, and could be made payable to FIRST AMERICAN BANK. b6 b7C

1- Albany

[redacted] b6 b7C

29K-3454-29

SEARCHED [redacted]
SERIALIZED [redacted]
AP[redacted]

[redacted]

29K-3454-30

SEARCHED
SERIALIZED
INDEXED
FILED

FBI - ALBANY

b6
b7C

COPY

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF NEW YORK

JUDGE McAVOY

UNITED STATES OF AMERICA

v.

[redacted]

Defendant.

INFORMATION

Criminal No. 90-CR-104

[VIO: 18 USC § 2113(b)]

1 Count

b6
b7C

U.S. DISTRICT COURT
N.D. OF N.Y.
FILED
APR 24 1990
J.R. SCULLY, Clerk
ALBANY

COPY

COUNT I

THE UNITED STATES ATTORNEY CHARGES THAT:

On or about July 6, 1988, in Albany County within the State and Northern District of New York [redacted] the defendant herein, did knowingly and willfully take and carry away with intent to steal and purloin, from the First American Bank of New York, the deposits of which were then insured by the Federal Deposit Insurance Corporation, money not exceeding $100.00 belonging to and in the care, custody, control, management and possession of the said bank.

b6
b7C

In violation of Title 18, United States Code, Section 2113(b).

FREDERICK J. SCULLIN, JR.
UNITED STATES ATTORNEY
NORTHERN DISTRICT OF NEW YORK

[redacted]

b6
b7C

ASSISTANT U.S. ATTORNEY

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

MEMORANDUM

DATE: 5/2/90

TO : SAC, ALBANY (29K-AL-3454) (P)

FROM : SA [redacted] b6 b7C

SUBJECT : [redacted]
FIRST AMERICAN BANK-VICTIM;
BF & E
OO: ALBANY

On 4/24/90 [redacted] appeared before United States Magistrate RALPH SMITH and pled guilty to a one count misdemeanor violation of Title 18, United States Code (USC) Section 2113(b). [redacted] was represented by Attorney [redacted] Magistrate SMITH set sentencing for 5/30/90 at 9:30 a.m. b6 b7C

On 5/24/90, [redacted] First American Bank, Albany, New York, advised that First American Bank had received full restitution from [redacted] attorney. b6 b7C

[redacted] b6 b7C

(2) Albany
[redacted] b6 b7C

1* 29K-3454-31

SEARCH [redacted] INDEXED
SERIALIZED [redacted] FILED [redacted]
[redacted] b6 b7C
[redacted]

29K - 3454 - 32

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AO 245 S (3/88) Sheet 1 - Judgment In[redacted]ng Sentence Under the Sentencing Reform Act

COPY

U.S. DISTRICT COURT
N.D. OF N.Y.
FILED
JUN 7 1990
J.R. SCULLY, Clerk
ALBANY

United States District Court

NORTHERN District of NEW YORK

UNITED STATES OF AMERICA

V.

[redacted]

(Name of Defendant)

JUDGMENT INCLUDING SENTENCE
UNDER THE SENTENCING REFORM ACT

CERTIFY THAT THIS IS A TRUE COPY b6 b7C

Case Number 90-CR-104

[redacted]

Defendant's Attorney

THE DEFENDANT:

☒ pleaded guilty to count(s) 1 of Information.
☐ was found guilty on count(s) _____ after a plea of not guilty.

Accordingly, the defendant is adjudged guilty of such count(s), which involve the following offenses:

Title & Section	Nature of Offense	Count Number(s)
18 USC § 2113(b)	Bank Larceny	1

RECEIVED
1990 JUN -7 PM 1:56
U.S. ATTY. ALBANY, N.Y.

The defendant is sentenced as provided in pages 2 through 4 of this Judgment. The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

☐ The defendant has been found not guilty on count(s) _____, and is discharged as to such count(s).
☐ Count(s) _____ (is)(are) dismissed on the motion of the United States.
☒ The mandatory special assessment is included in the portion of this Judgment that imposes a fine.
☐ It is ordered that the defendant shall pay to the United States a special assessment of $ _____, which shall be due immediately.

It is further ordered that the defendant shall notify the United States Attorney for this district within 30 days of any change of residence or mailing address until all fines, restitution, costs, and special assessments imposed by this Judgment are fully paid.

Defendant's Soc. Sec. Number: [redacted] b6 b7C

Defendant's mailing address: [redacted]

Defendant's residence address:

MAY 30, 1990
Date of Imposition of Sentence

/s/ RALPH W. SMITH, JR.
Signature of Judicial Officer

RALPH W. SMITH, JR., U.S. MAGISTRATE
Name & Title of Judicial Officer

JUNE 6, 1990
Date

Defendant: [redacted] b6 b7C
Case Number: 90-CR-104

PROBATION

CR-104 [redacted] b6 b7C

The defendant is hereby placed on probation for a term of one (1) year.

While on probation, the defendant shall not commit another Federal, state, or local crime and shall comply with the standard conditions that have been adopted by this court (set forth on the following page). If this Judgment imposes a fine or a restitution obligation, it shall be a condition of probation that the defendant pay any such fine or restitution. The defendant shall comply with the following additional conditions:

The defendant is confined to his place of residence beginning JUNE 4, 1990 with the exception that the defendant is permitted to leave for business trips leaving in the morning and returning that evening. The defendant is Ordered to perform 100 hours of community service to be determined by the Probation Office. The defendant is fined the sum of $1500.00 to be paid by SEPTEMBER 28, 1990. A Special Assessment of $25.00 is imposed. The defendant is not required to pay any costs of supervision.

Defendant: [redacted] b6 b7C
Case Number: 90-CR-104

STANDARD CONDITIONS OF SUPERVISION

CR-10 [redacted] b6 b7C

While the defendant is on probation or supervised release pursuant to this

1) The defendant shall not commit another Federal, state or local crime;
2) the defendant shall not leave the judicial district without the permission of the court or probation officer;
3) the defendant shall report to the probation officer as directed by the court or probation officer and shall submit a truthful and complete written report within the first five days of each month;
4) the defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;
5) the defendant shall support his or her dependents and meet other family responsibilities;
6) the defendant shall work regularly at a lawful occupation unless excused by the probation officer for schooling, training, or other acceptable reasons;
7) the defendant shall notify the probation officer within seventy-two hours of any change in residence or employment;
8) the defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute, or administer any narcotic or other controlled substance, or any paraphernalia related to such substances, except as prescribed by a physician;
9) the defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered;
10) the defendant shall not associate with any persons engaged in criminal activity, and shall not associate with any person convicted of a felony unless granted permission to do so by the probation officer;
11) the defendant shall permit a probation officer to visit him or her at any time at home or elsewhere and shall permit confiscation of any contraband observed in plain view by the probation officer;
12) the defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer;
13) the defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the court;
14) as directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics, and shall permit the probation officer to make such notifications and to confirm the defendant's compliance with such notification requirement.

These conditions are in addition to any other conditions imposed by this Judgment.

Defendant: [redacted]
Case Number: 90-CR-104

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CR-10 [redacted]

FINE WITH SPECIAL ASSESSMENT

The defendant shall pay to the United States the sum of $ 1525.00
$1500.00 and a special assessment of $ 25.00

☐ These amounts are the totals of the fines and assessments imposed on individual counts, as follows:

This sum shall be paid ☐ immediately.
☒ as follows: the fine is to be paid by SEPTEMBER 28, 1990.

☐ The Court has determined that the defendant does not have the ability to pay interest. It is ordered that:

☐ The interest requirement is waived.
☐ The interest requirement is modified as follows:

FD-515 (Rev.9-20-89)
Accomplishment Report
(Effective 10/1//89)
(Submitt within 30 days from date of accomplishment)

TO: Director, FBI

Date 7/25/90

FROM: SAC, ALBANY
SUBJECT: [redacted]
FIRST AMERICAN BANK
ALBANY, NY-VICTIM;
BF & E
OO: ALBANY

Bureau File Number	
Field Office File Number	29K-3454
Squad or RA Number	4
Agent's Social Security No.	[redacted]

☐ X if case involves corruption of a public official (Federal, State or Local).

Investigative Assistance or Technique Used
Were any of the investigative assistance or techniques listed below used in connection with accomplishment being claimed? ☒ No ☐ Yes - If Yes, rate each used as follows:
1 = Used, but did not help 3 = Helped, substantially
2 = Helped, but only minimally 4 = Absolutely essential

1. Acctg Tech Assistance Rating	8. Eng. Sect. Tape Exams Rating	15. Photographic Coverage Rating	22. Telephone Toll Recs Rating
2. Aircraft Assistance	9. Hypnosis Assistance	16. Polygraph Assistance	23. UCO Group I
3. Computer Assistance	10. Ident Div Assistance	17. Search Warrants Executed	24. UCO Group II
4. Consensual Monitoring	11. Informant Information	18. Show Money Usage	25. UC Other
5. ELSUR - FISC	12. Lab Div Exams	19. Surveil. Sqd. (SOG) Asst	26. NCAVC/ VI-CAP
6. ELSUR - Title III	13. Lab Div Field Support	20. SWAT Team Action	27. Visual Invest - Analysis (VIA)
7. Eng. Sect. Field Support	14. Pen Registers	21. Tech. Agt. or Tech Equip	

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A. Preliminary Judicial Process (Number of subjects)

Complaints	Informations	Indictments

B. Arrests, Locates, Summonses or Subpoenas Served (No. of Subj.)

	Subject Priority* A	B	C
FBI Arrests -			
FBI Locates -			
Local Arrests -			

Subpoenas Served _____
Criminal Summons _____
Local Crim. Summons _____
FBI Subj. Resisted _____ ; Armed _____

D. Recoveries, Restitutions, or Potential Economic Loss Prevented (PELP) (Explain valuation in remarks)

Property Type Code*	Recoveries	Restitutions	PELP Type Code*	Potential Economic Loss Prevented
2	$	$ 17,785.97		$
	$	$		$
	$	$		$
	$	$		$
	$	$		$

C. Release of Hostages or Children Located: (Number of Hostages or Children Located)
Hostages Held By Terrorists _____ ; All Other Hostage Situations _____
Missing or Kidnaped Children Located _____

E. Civil Matters

		Government Defendant	Government Plaintiff
RICO - Civil Convictions	Civil Suits Amount of Suit	$	$
No. of Subj.	Settlement or Award	$	$ Enter AFA Payment Here

F. Seizures/Forfeitures

Property Type Code*	Seizures	Forfeitures: Judicial	Forfeitures: Administrative

G. Administrative Sanctions

Subject 1 — Subject Description Code* -

	Time Frame: Years	Months	
☐ Suspension			
☐ Debarment			☐ Permanent

Subject 2 — Subject Description Code* -

	Time Frame: Years	Months	
☐ Suspension			
☐ Debarment			☐ Permanent

H. Final Judicial Process: Judicial District NO (District) NY (State) 4/24/90 (Conviction or Pretrial Div. Date) 5/30/90 (Sentence Date) No. of Subjects _____ Acquitted _____ Dismissed

Subject 1 — Subject Description Code*-

☐ Felony ☒ Misdemeanor ☐ Parole Revocation ☐ Probation Revocation

Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs.	Mos.	Suspended Yrs.	Mos.	Probation Yrs.	Mos.
18	2113 (b)	1					1	0

Total Fines $ 1500.00

☐ Plea ☐ Trial ☐ Pretrial Diversion

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs. - 8 yrs. susp. = 2 yrs. In-Jail.

Subject 2 — Subject Description Code* -

☐ Felony ☐ Misdemeanor ☐ Parole Revocation ☐ Probation Revocation

Conviction: Title	Section	Counts	Combined Sentence: In-Jail Yrs.	Mos.	Suspended Yrs.	Mos.	Probation Yrs.	Mos.

Total Fines $

☐ Plea ☐ Trial ☐ Pretrial Diversion

Add consecutive sentences together.
Enter longest single concurrent sentence.
Do not add concurrent sentences together.
Sentence 10 yrs. - 8 yrs. susp. = 2 yrs. In-Jail.

Attach additional forms if reporting final judicial process on more than two subjects, and submit a final disposition form (R-84) for each subject.

Remarks: *For every subject reported in Sections A, B, E, G, or H above, provide name, DOB, race*, sex, and if available POB and SSAN.

[redacted] DOB: [redacted] POB: [redacted] SSAN: [redacted]

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INDEXED
FILED

FBI/DOJ

2 - Bureau
4 - Field Office (2- 29K-3454) (1- Statistics in Process Folder) (1- SUPV. #4)
* See codes on reverse side.

[redacted]
(b)

FD-467 (Rev. 9-25-80)

Memorandum

(See Reverse Side for Instructions)

To : Director, FBI (29-K-3454) Date 7/29/90

From : SAC, ALBANY *(29-K-3454)

Subject:
First Subject Only [redacted]
Name of Bank: FIRST AMERICAN BANK
City, State: ALBANY, NEW YORK

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1. DATE CASE OPENED 8/16/88

DATE CASE CLOSED 7/27/90

2. GROSS LOSS $17,785.97

3. INSTITUTION — Check block
   a. Bank [X]
   b. Credit Union [ ]
   c. Savings & Loan - Savings Bank [ ]

4. TYPE OF CASE
   a. Internal Fraud [ ]
   b. External Fraud (Include Section 1014) [X]
   c. No violation determined [ ]

5. GENERAL INFORMATION
   a. Period of time case awaited prosecutive action by USA after completion of investigation
      1. [ ] within 3 months 3. [ ] 6-12 months
      2. [ ] 3-6 months 4. [ ] over 1 year

29K-3454-34

   b. Case disposition
      1. Conviction and/or Pretrial Diversion [X]
      2. Prosecution declined:
         a. Nominal amount [ ]
         b. Restitution and/or employment terminated [ ]
         c. Other [ ]
      3. Case closed on SAC's authority [ ]

[redacted] #6

[redacted]

[redacted]

[redacted]

SEARCHED
SERIALIZED
INDEXED
FILED

[redacted]

[redacted]

[redacted]

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2 - Bureau
*If control file number used add serial number
1- Albany

Memorandum

To : SAC, ALBANY (29K-AL-3454) (C) Date 9/14/94

From : ACTING SSA [redacted] b6 b7C

Subject: [redacted]
FIRST AMERICAN BANK - VICTIM;
FIF;
OO: ALBANY

On 9/13/94, the Writer telephonically contacted AUSA [redacted] regarding evidence contained in 1B1 which was charged out to him in 1990. AUSA [redacted] advised that this evidence would still be located in his case file and he requested that it be permanently charged out to the custody of the United States Attorney's Office for storage and disposition. b6 b7C [redacted]

(2) - Albany

[redacted] b6 b7C

29K-AL-3454-35

SEARCHED [redacted]
SERIALIZED [redacted]
SEP 13 1994
FBI — ALBANY
[redacted] b6 b7C